

Molecular Factors Influencing Drug Transfer across the Blood–Brain Barrier

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Abstract

A recently reported approach to the prediction of blood–brain drug distribution uses the general linear free energy equation to correlate equilibrium blood–brain solute distributions ($\log BB$) with five solute descriptors: R_2 an excess molar refraction term; π_2^H , solute dipolarity or polarizability; α_2^H and β_2^H , the hydrogen bond acidity or basicity, and V_X , the solute McGowan volume. In this study we examine whether the model can be used to analyse kinetic transfer rates across the blood–brain barrier in the rat.

The permeability ($\log PS$) of the blood–brain barrier to a chemically diverse series of compounds was measured using a short duration vascular perfusion method. $\log PS$ data were correlated with calculated solute descriptors, and octanol–water partition coefficients ($\log P_{oct}$) for comparison.

It is shown that a general linear free energy equation can be constructed to predict and interpret $\log PS$ values. The utility of this model over other physicochemical descriptors for interpreting $\log PS$ and $\log BB$ values is discussed.

Optimum delivery of therapeutic drugs to the brain is hindered by the presence of the blood–brain barrier which tightly controls the exchange of substances between the blood and the central nervous system (CNS). The blood–brain barrier is formed by high-resistance tight junctions (zonulae occludentes) between adjacent endothelial cells in the cerebral micro-vessel walls (Reese & Karnovsky 1967) and between the epithelial cells of the chord plexus. The micro vessels form the principal route by which drugs enter the brain, making up 95% of the total surface area of the blood–brain barrier (Smith 1989). The absence of an intercellular transport route across these vessels, combined with a lack of transcellular water-filled channels or fenestrations (Brightman & Reese 1969), gives rise to a capillary network that behaves as an extended cell membrane. Therefore, to pass into the brain solutes must take the transcellular route, which involves either passive diffusion or transport via a specific carrier, as occurs for essential brain nutrients, including glucose and amino acids (Pardridge 1983). The blood–brain barrier also possesses active-efflux mechanisms for certain classes of drug (Ghersiegea et al 1994). Net rate of uptake into the brain for these drugs will be dependent upon the rate of brain-to-blood efflux and on the blood-to-brain permeation rate.

Terms such as 'brain penetration' or 'the ability of drugs to cross the blood–brain barrier' are widely used in the pharmaceutical literature, often without any definition of the means of measurement of brain penetration or of the ability to cross the blood–brain barrier. Because for most solutes passage through the blood–brain barrier is rate-limiting (Bradbury 1979) and can be equated with the micro-vessel wall, it is logical to consider two parameters of brain uptake. The first is the per-

meability of the micro-vessel wall or blood–brain barrier to a drug and the second the steady-state distribution of the drug between brain and blood (or blood plasma). The permeability has generally been estimated by relating the brain uptake of a radiolabelled drug to its concentration in plasma or in a perfused physiological saline during short-term exposure. If a value of the surface-area of brain micro-vessels per unit weight of brain is assumed, a permeability coefficient with dimensions of length \times time⁻¹ can be calculated. More commonly, surface area is subsumed into a composite constant, the permeability-surface area (PS) product (Renkin 1959), with dimensions of length³ \times time⁻¹ \times weight⁻¹.

Although Krogh (1946) pointed to lipid solubility as being the prime determinant of brain entry, Mayer et al (1959) seem to have been the first to relate a rate constant for entry into the cerebrospinal fluid (CSF) or brain to the partition coefficient, P , of a compound between heptane, benzene or chloroform and water. Later, no doubt on the basis of the work of Hansch et al (1968), the octanol–water $\log P$ was used to predict penetration of iodinated organic acids into the brain (Rapoport & Levitan 1974). Subsequent studies of the permeability of the blood–brain barrier have generally related this parameter to P_{oct} or to this property divided by the square root of molecular weight (MW) (reviewed by Fenstermacher & Rapoport 1984). Although the regression of the PS-product for 16 simple compounds against $P_{oct} \times MW^{-1/2}$ was linear over four orders of magnitude of $P_{oct} \times MW^{-1/2}$, the observed values of the PS-product occasionally differed from those predicted and the difference could be as much as an order of magnitude.

Levin (1980) determined blood-plasma-to-brain permeability coefficients, P_c , in rats and correlated the $\log P_c$ values with a function of $\log P_{oct}$ and drug molecular weight, MW, i.e. $\log [P_{oct} \times (MW)^{-1/2}]$. For 22 drugs he obtained $\rho = 0.91$; we denote the number of datapoints as n , the correlation coefficient as ρ , the regression standard deviation as s.d., and the

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F-statistic as *F*. Subsequently (Hansch et al 1987) use of a regression against $\log P_{\text{oct}}$ and $\log \text{MW}$ as independent variables yielded a regression with $n=23$, $\rho=0.927$ and $\text{s.d.}=0.46 \log$ units. Pardridge et al (1990) obtained the permeability-surface area product, PS, for 17 drugs, this time from physiological saline to the brain. A regression of $\log [\text{PS} \times (\text{MW})^{-1/2}]$ against $\log P_{\text{oct}}$ was shown to have $\rho=0.84$ for 13 of the drugs.

More recently Chikhale et al (1994, 1995) have used the rat-brain perfusion technique to obtain permeability coefficients from physiological saline for a series of model peptides. They then compared the $\log P_c$ values for seven model peptides with various solvent partition coefficients and found ρ values of 0.598 against $\log P_{\text{oct}}$, of 0.874 against the $\Delta \log P$ parameter of Seiler (1974) and of 0.970 against $\log P$ for partition between ethylene glycol and heptane. Chikhale et al (1994, 1995) concluded that a main determining factor was the amide 'hydrogen bonding potential', although it is not clear if this refers to the ability of the amides to act as hydrogen-bond acids, hydrogen bond bases, or both.

The second parameter, the blood-brain distribution at or near the steady-state is more difficult to obtain, but has been measured by Young et al (1988). In such studies, factors other than capillary permeability influence the final concentration of drug in the brain. If it is assumed that there is no active transport in either direction across the capillary wall, these factors include solubility and binding of the drug in brain relative to blood, drug distribution between the cells and the interstitial fluid of the brain (apart from active transport this might be influenced by pH and electrical potential differences between intracellular and interstitial fluids) and removal of the drug from the brain by the 'sink action' of the CSF. In addition, biological degradation of drugs is likely to be more important in long-term studies than in short-term studies.

Young et al (1988) obtained blood-brain distribution coefficients, defined by equation 1, for 30 drug compounds using an in-vivo radio-assay method.

$$\text{BB} = \frac{[\text{concn of solute in brain}]}{[\text{concn of solute in blood}]} \quad (1)$$

For twenty diverse compounds correlation of $\log \text{BB}$ with $\log P_{\text{oct}}$ was very poor, but there was better correlation with $\Delta \log P$, giving $n=20$, $\rho=0.831$, $\text{s.d.}=0.44$ and $F=40$.

The problem with the above equations for $\log \text{PS}$ and $\log \text{BB}$ is that they lead to little quantitative understanding of the factors that influence brain penetration. A new approach (Abraham 1993) to the problem of blood-brain distribution has recently been described by Abraham et al (1994a) and the purpose of this study was to investigate whether this new methodology could be used to correlate kinetic transfer rates across the blood-brain barrier.

Materials and Methods

Model compounds

A chemically diverse set of model compounds covering a range of size and hydrophobicity were selected (Table 1). Compounds 13–18 (Fig. 1) radiolabelled with [^{14}C] were provided by Zeneca Pharmaceuticals. The specific activity of each compound was between 10–100 mCi mmol $^{-1}$. [^{14}C]urea (52 mCi mmol $^{-1}$), [^{14}C]thiourea (57 mCi mmol $^{-1}$), [^{14}C]ethanol (21 mCi mmol $^{-1}$), [^3H]thymine (53 Ci mmol $^{-1}$), [^{14}C]glycerol (43 mCi mmol $^{-1}$), [^3H]oestradiol (40 mCi mmol $^{-1}$) and [^3H]diazepam (84.8 Ci mmol $^{-1}$) were obtained from NEN Ltd (Boston, MA). [^{14}C]ethylene glycol (7.8 mCi mmol $^{-1}$) and [^{14}C]antipyrine (41.5 mCi mmol $^{-1}$) were obtained from Sigma (Poole, Dorset).

Measurement of blood-brain barrier permeability

Uptake into the brain of each test compound was measured using a short-duration vascular perfusion method (Takasato et al 1984; Deane & Bradbury 1990) as modified and described in detail by Gratton et al (1993). In brief, female Wistar rats were

Table 1. $\log P_{\text{oct}}$, PS-product and solute descriptor* values for the test compounds.

Compound	pK_a	$\log P_{\text{oct}}^\dagger$	R_2	π_2^{H}	$\Sigma\alpha_2^{\text{H}}$	$\Sigma\beta_2^{\text{H}}$	V_x	Log PS		PS (obs) $\times 10^{-3}$ (\pm s.e.m.)	n
								Calc.	Obs.		
1 Erythritol		-2.29	0.620	1.20	0.70	1.40	0.9070	-3.90	-4.57	0.027 \pm 0.005	5
2 Urea		-2.11	0.500	1.00	0.50	0.90	0.4648	-3.69	-3.79	0.162 \pm 0.042	6
3 Ethylene glycol		-1.36	0.404	0.90	0.58	0.78	0.5078	-3.10	-2.99	1.030 \pm 0.075	6
4 Thiourea		-1.02	0.640	0.70	0.78	0.86	0.5696	-2.56	-3.36	0.433 \pm 0.097	18
5 2-Propanol		0.05	0.212	0.36	0.33	0.56	0.5900	-1.34	-1.66	22.00 \pm 4.00	5
6 Ethanol		-0.30	0.246	0.42	0.37	0.48	0.4491	-1.67	-1.523	0.00 \pm 2.00	6
7 Antipyrine	1.4	0.20	1.320	1.50	0.00	1.48	1.5502	-2.02	-2.00	10.1.00	10
8 Mannitol		-3.20	0.836	1.80	0.70	1.92	1.3062	-5.00	-5.01	0.010 \pm 0.003	6
9 Sucrose		-3.70	1.970	2.50	2.10	3.20	2.2279	-5.96	-5.30	0.005 \pm 0.0004	6
10 Oestradiol		2.69	1.800	3.30	0.88	0.95	2.1988	-1.38	-0.83	148.00 \pm 6.00	10
11 Thymine		-0.62	0.800	1.00	0.44	1.03	0.8925	-2.40	-1.93	11.740 \pm 3.65	5
12 22001	5.30	1.55	1.710	1.30	0.40	1.10	1.4120	-0.74	-0.82	151.80 \pm 12.66	6
13 12002	3.20	3.80	2.561	2.11	0.60	1.20	2.2620	0.92	0.71	5100 \pm 500	7
14 11003	8.10	3.63	2.121	3.78	0.73	2.57	3.9830	-0.68	-0.75	176.6 \pm 1.80	6
15 95005	7.95	1.26	1.354	2.46	0.77	1.50	2.0770	-2.11	-1.95	11.1 \pm 0.73	6
16 26006	8.91	3.90	3.353	5.29	2.08	3.11	4.7800	-1.44	-1.79	16.30 \pm 7.67	6
17 13007	10.10	2.19	0.673	0.55	0.16	0.61	1.1510	0.37	1.13	1.3500 \pm 1050	5
18 Propranolol	9.40	3.65	1.843	1.50	0.60	1.27	2.1480	0.95	0.98	9600 \pm 680	6

* P_{oct} , octanol-water partition coefficient; R_2 , excess molar refraction; π_2^{H} , solute dipolarity or polarizability; α_2^{H} and β_2^{H} , hydrogen bond acidity or basicity; V_x , solute McGowan volume; PS, permeability of the blood-brain barrier. The descriptor values from Abraham (1993) or calculated as described in Abraham & Chadha (1996). $\dagger \log P_{\text{oct}}$ values were from the Pomona (Claremont, CA, 91711) Medicinal Chemistry Project except for values for compounds 12 and 13 which were calculated from the given descriptors and a regression equation (Abraham & Chadha 1996) for $\log P_{\text{oct}}$.

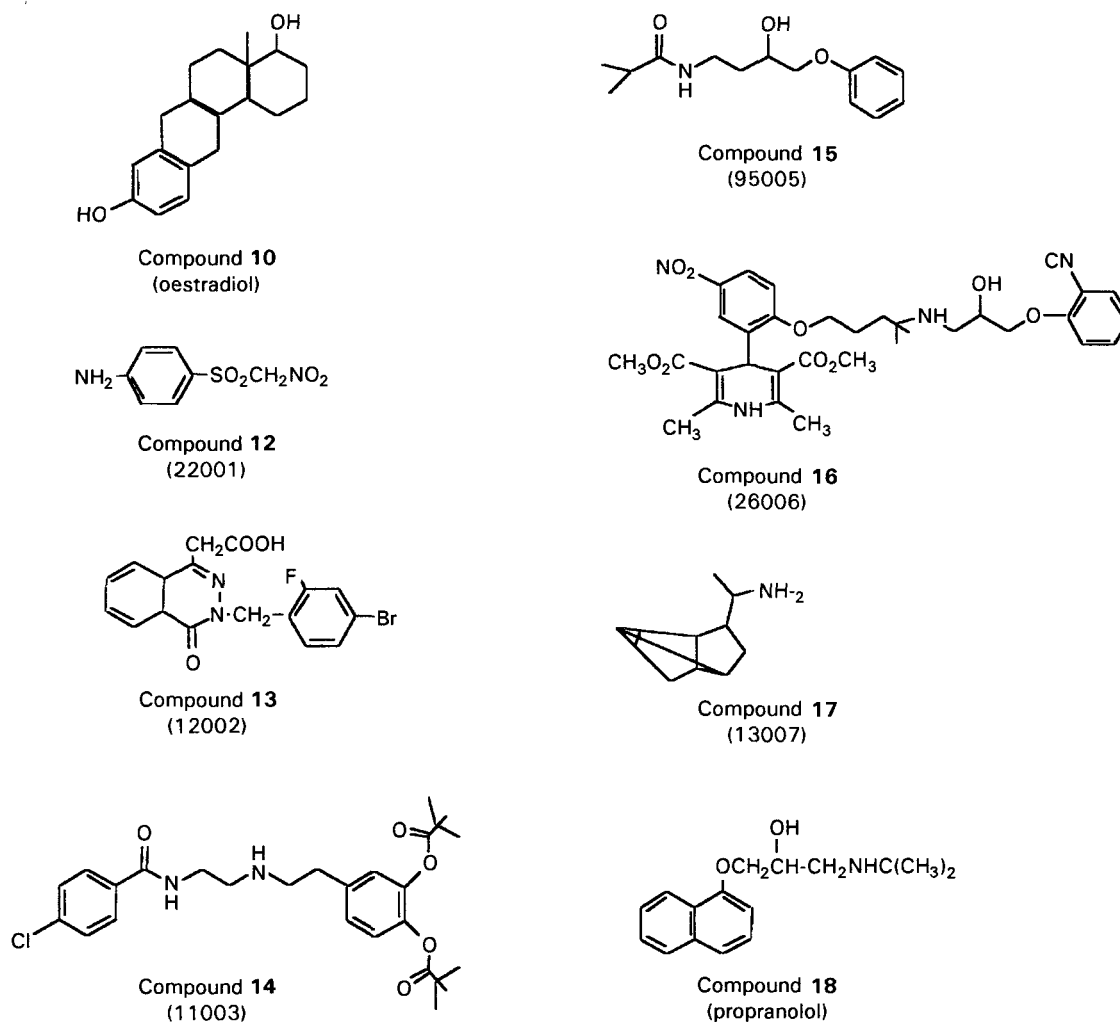


FIG 1. The structures of compounds 10 and 12 to 18.

anaesthetized with pentobarbitone (4 mg/100 g, i.p.). The right common carotid artery was cannulated for perfusion of the internal carotid artery. Immediately the cannula was in place the heart was stopped by severing the ventricles and infusion was started. The animals were perfused at an infusion rate of 0.1 mL s^{-1} to give a net carotid perfusion pressure of 80 mmHg. Perfusion was performed using a protein-free bicarbonate-buffered saline to which the [^{14}C]- or [^3H]-labelled compound was added and equilibrated at 37.5°C . For highly permeable compounds, [^3H]diazepam ($0.5 \mu\text{Ci mL}^{-1}$) was also added to the perfusate for measurement of the regional rate of fluid flow. At a net carotid perfusion pressure of 80 mmHg, mean perfusion fluid flow rate in the frontal cortex was 0.045 ± 0.002 (s.e.m., $n = 18$) $\text{mL s}^{-1} \text{g}^{-1}$. To verify that uptake for each tracer was unidirectional, uptake was measured at several perfusion times, ranging from 10 to 240 s, depending on the permeability of the test compound. For slowly penetrating solutes [^3H]inulin ($2 \mu\text{Ci mL}^{-1}$) was added to the perfusate for determination of residual intravascular volume. The value of the mean intravascular volume (0.019 ± 0.002 (s.e.m., $n = 15$) mL g^{-1}) was used to correct the uptake spaces of the highly permeable flow-limited tracers.

Validation studies performed in our laboratory demonstrated that the regional rate of fluid flow and intravascular volume in the frontal cortex remains constant over the range of perfusion times used to measure uptake. Rates of uptake into the frontal cortex, expressed as a permeability surface area (PS) product ($\text{mL s}^{-1} \text{g}^{-1}$), were calculated by the single-time-point method of Ohno et al (1978), using perfusion times selected to minimize back-diffusion:

$$\text{PS} = -F_{\text{pf}} \ln(1 - (C_{\text{tis}(t)}/tF_{\text{pf}}C_{\text{pf}})) \quad (2)$$

where F_{pf} is the perfusion fluid flow-rate ($\text{mL s}^{-1} \text{g}^{-1}$), C_{tis} is the concentration of tracer in the frontal cortex minus intravascular radioactivity ($\text{d min}^{-1} \text{g}^{-1}$), C_{pf} is the concentration of tracer in the perfusate ($\text{d min}^{-1} \text{mL}^{-1}$) and t is the perfusion time (s). For slowly penetrating solutes, for which $\text{PS} < 10\%$ of perfusion fluid flow, equation 2 can be reduced to:

$$\text{PS} = C_{\text{tis}(t)}/tC_{\text{pf}} \quad (3)$$

It has been assumed that uptake of the compounds is by passive diffusion. Altering tracer concentration in the perfusate (up to 50-fold) had no effect on the calculated PS-product values for any of the test compounds (data not shown). It should be noted that for the more permeable compounds flow correction leads

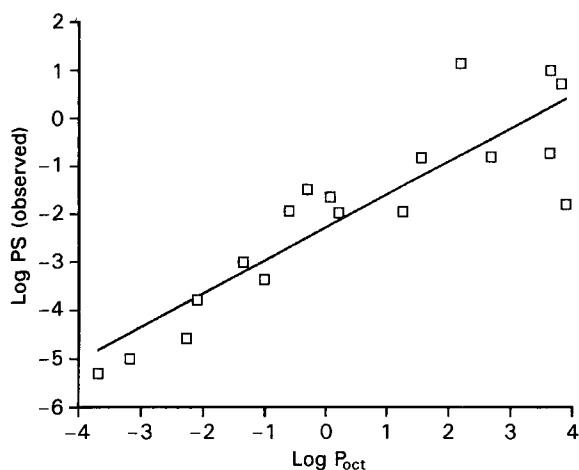


FIG. 3. Log plot of permeability surface area (PS)-product ($\text{mL s}^{-1} \text{g}^{-1}$) for all 18 compounds against octanol-water partition coefficient (P_{oct}).

are strong hydrogen-bond acids (note that symbol $\Sigma\alpha_2^{\text{H}}$ for acetic acid is 0.61 and for phenol is 0.6), and solutes 9 and 16 are very strong indeed. It has long been realized that the rate of entry of a compound into a cell depends on its ability to partition into the lipid cell membrane (Davson & Danielli 1943). In the blood-brain system compounds must, of course, cross the luminal and abluminal membranes of the capillary endothelium. Hence, the same capacity to dissolve in the lipid membranes must be crucial in determining the rate of entry into the brain across the blood-brain barrier. The positive influence of the descriptors R_2 and V_X , and the negative influence of the descriptors π_2^{H} and $\Sigma\alpha_2^{\text{H}}$ are between 2.7 and 4 times greater in the equation for rate (equation 6) than in that for steady-state distribution (equation 5). This must be because of the key role of lipid membrane solubility in determining the rate of blood-brain penetration. For steady-state distribution, lipid solubility is again important, e.g. because of partitioning of drugs into CNS cell membranes, but there will also be a major influence in the opposite direction from solute distributed in the water phase of the brain. A plot of observed logPS against logPS calculated from equation 6 is shown in Fig. 2. No compounds have been omitted, and there is random scatter about the line of identity. Furthermore, there is no suggestion of a 'plateau' or of a parabolic-type relationship in the high-logPS region; the line of identity is maintained throughout (see Fig. 2).

Relationship between octanol-water partition coefficient and logPS and logBB. LogPS is plotted against log P_{oct} in Fig. 3. A simple regression of logPS against log P_{oct} yields the equation:

$$\log\text{PS} = -2.28 + 0.69 \log P_{\text{oct}} \quad (7)$$

$n = 18$, $\rho = 0.8815$, $\text{s.d.} = 0.94$, $F = 55$

Equation 7 is much better than the equation for blood-brain distribution, equation 5, but even so logPS is correlated to only 0.94 log units, and the scatter about the line of identity is no longer random. A parabolic relationship leads to the equation:

$$\log\text{PS} = -1.81 + 0.75 \log P_{\text{oct}} - 0.084(\log P_{\text{oct}})^2 \quad (8)$$

$n = 18$, $\rho = 0.9106$, $\text{s.d.} = 0.85$, $F = 36$

The s.d. value of 0.85 log units in equation 8 is too large for the equation to be of any real predictive value and the parabolic fit

leads to a calculated maximum of log PS at $\log P_{\text{oct}} = 4.5$ units. Whether or not there actually is a parabolic relationship between log PS and log P_{oct} is doubtful; the F -statistic is lower for equation 8 than for equation 7, and the squared term in equation 8 is significant at only the 95% level. For blood-brain distribution, the experimental values of logBB (Abraham et al 1994a) fit equation 5 with no sign of any unusual distribution about the line of identity, the regression equation against log P_{oct} is very poor, and we find no parabolic relationship with log P_{oct} :

$$\log\text{BB} = -0.34 + 0.17 \log P_{\text{oct}} + 0.013(\log P_{\text{oct}})^2 \quad (9)$$

$n = 50$, $\rho = 0.5454$, $\text{s.d.} = 0.51$, $F = 10$

The correlations of logBB and logPS with log P_{oct} are therefore quite different; for logBB there is only a very poor correlation (Young et al 1988; Abraham et al 1994a) but for logPS there is a more reasonable correlation, as is shown in equation 7. We can account for this difference through our correlation equation (Abraham et al 1994b) for log P_{oct} :

$$\log P_{\text{oct}} = 0.088 + 0.562R_2 - 1.054\pi_2^{\text{H}} + 0.034\Sigma\alpha_2^{\text{H}} - 3.460\Sigma\alpha_2^{\text{H}} + 3.814V_X \quad (10)$$

$n = 613$, $\rho = 0.9974$, $\text{s.d.} = 0.116$, $F = 23162$

It can be seen that the $\Sigma\alpha_2^{\text{H}}$ term in equation 10 is insignificant, exactly as in the logPS equation, equation 6, but in contrast with the logBB equation, equation 5. It is the presence of a significant $\Sigma\alpha_2^{\text{H}}$ term in equation 5 that is a major reason for the lack of correlation of logBB with log P_{oct} .

Conclusions

The pharmaceutical literature is confusing because measures based on biological activity (Hansch et al 1968) or on drug-receptor interaction (Laduron et al 1982; Awouters et al 1983) have been used as an indication of the ability of a drug to cross the blood-brain barrier. Such measures must inevitably be composite in nature, being related to efficacy of binding or interaction with some receptors on brain cells, and to either a rate or an equilibrium transport across the blood-brain barrier. To obtain any meaningful correlation of brain penetration or the ability to cross the blood-brain barrier with physicochemical descriptors it is essential to deal with one particular measure at a time. Either short-term rate studies or long-term equilibrium studies seem suitable measures for use in correlation analysis. If mixtures of measures are used, it becomes difficult to reach any conclusion. For example, a recent attempt was made (Seelig et al 1994) to relate the ability of drugs to cross the blood-brain barrier with the lipophilicity of the drug. However, the measures that were used, as judged by quoted references, varied from studies on tissue and plasma distribution (Heykants et al 1974) to binding studies (Awouters et al 1983). Not surprisingly, no general relationship was found. The oft-quoted (Gupta 1989) rule that an octanol-water partition coefficient, log P_{oct} , of approximately 2 log units is optimum for ready entry into the brain (Hansch et al 1987) is derived, not from rates of permeation nor from equilibria, but from studies on biological activity, for example the potency of hypnotics acting as CNS depressants. It could be that, if the concentration of drug in the interstitial fluid to which receptors on the brain cells are exposed is related to blood or plasma concentration, the ratio might bear a parabolic relationship to P_{oct} . Indeed such a hypothesis might be accessible to study with recent techniques

such a microdialysis. At present no series of suitable results are available for analysis. Our conclusion is that there is no parabolic relationship between $\log BB$ and $\log P_{oct}$, and that the rule of a maximum $\log P_{oct} = 2$ does not apply to blood-brain distribution. There might (just) be such a relationship between $\log PS$ and $\log P_{oct}$, but further work will be needed to confirm or reject this for our saline-brain PS values. In any case, the parabolic relationship, if it exists, between $\log PS$ and $\log P_{oct}$ is not good enough to use predictively. On the other hand, the general equation 6 can be applied to $\log BB$ and $\log PS$ values, as a predictive and interpretative equation.

Acknowledgements

This work was supported by SERC and Zeneca Pharmaceuticals. We thank Dr David E. Leahy and Dr Jeffrey J. Morris for their help and interest in this work.

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